Spreading Excellence and Widening Participation
The Role of NCP’s in implementing synergies between Horizon 2020 and ESIF

Matthias Woiwode von Gilardi
DLR Project Management Agency

Brussels, 11 October 2016
Some key questions

ISSUE What are the relevant policy processes to get involved in as NCP?

PURPOSE Why is it important to get involved in them (purpose)?

TARGET Who are the key stakeholders?

METHOD How can NCP’s get involved?

Case studies Germany

• National dialogue on synergies
• Regional implementation project Northrhine-Westphalia

Some recommendations

• Support to Widening Participation
Some key questions

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Reform discussion in Horizon 2020

**Drivers, e.g.**

- Ex-post Evaluation FP7 („Commitment and Coherence“)
- Interim evaluation Horizon 2020
- Reports (e.g. „Perspectives of RIS3 in the context of Europe 2020“)
- „high-level politics“ (Member States/ Council, regions, European Commission, European Parliament)
Reform discussion in Horizon 2020

**Issues**

- More investments (through EFSI, ESIF…other)
- More innovation (KET, innovative procurement, EIC, „Innovation Deals“, …)
- More integration and coherence (European Joint Programming, EIT-KIC, JTI…)
- Responding to societal challenges (circular economy, bio-economy, sustainable energy…)

**Initiatives**

→ Vanguard Initiative, (thematic) S3 Platforms, H2020 seal of excellence (SoE), Stairway to Excellence (S2E), national-regional synergies dialogue Germany, Austria…
Ex-Post-Evaluation FP7

Commission reaction on recommendations of the expert commission „Commitment and Coherence“ (January 2016)

Announcement of a series of measures:

- Exploring potential of a European Innovation Council (EIC)
- Further support to strengthening synergien between H2020, ESI-Funds and EFSI
- Stepping-up of simplification measures (Lump Sums, etc.)
- Evaluation of the executive agencies REA and ERCEA (until end of March 2016)
- Enhancing open access to research data
- Involvement of citizens particularly on shaping future framework programmes
  (→ Online Stakeholder Consultation Oct-Dec. 2016)
Reform discussions – what about the ESI-Funds?

- **Main lines of discussion at EU level**
  - Bratislava Conference on past, present and future of Cohesion Policy
  - CC on Financial Instruments, Omnibus Regulation …
  - Topics: flexibility, performance, economic governance, simplification

- **Are you aware of the main issues of the debate in your country?** E.g.
  - State aid rules
  - Transnational regional cooperation on RIS3 priorities
  - Strategic coordination of funding sources
  - …

- **Are there opportunities to get involved?** (consultations etc.)
  Consider opportunities
  - in specific thematic objectives / investment priorities?
  - at national / regional level?
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### „synergies governance“ or „synergies maze“ (or mess?)

<table>
<thead>
<tr>
<th>COM</th>
<th>MS</th>
<th>Regions</th>
<th>Industry / Science / Society</th>
</tr>
</thead>
<tbody>
<tr>
<td>Set up <strong>7-year plan implementing Horizon 2020</strong> (expenditure and activity planning) on European R&amp;I priorities</td>
<td>Conclude <strong>CP „Partnership Agreements“</strong> with COM</td>
<td></td>
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<tr>
<td><strong>Information of CP managing authorities</strong> about priorities (and subsequent <strong>multi-annual</strong> work programme up-dates)</td>
<td>feed into the <strong>development of the RIS3 strategies and OPs</strong></td>
<td></td>
<td>Partnership principle: contribute to development of RIS3 and OP</td>
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<tr>
<td>More specific information on <strong>timing and modalities for Horizon 2020 “bridging”-measures</strong> e.g. Twinning competition, ERA chairs, regional partner facilities of ESFRI, labels of excellence, the SME instrument</td>
<td>managing authorities to consider opportunities for the <strong>preparation of the respective OPs</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Industrial Leadership</strong> pillar of Horizon 2020</td>
<td><strong>Consider in RIS3 and involve actors from the relevant regions</strong></td>
<td>Development of (EIT) research and innovation agendas</td>
<td></td>
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<tr>
<td><strong>Societal Challenges</strong> pillar of Horizon 2020</td>
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<td></td>
<td><strong>Consider EIP priorities in CP actions</strong> (pilot / demonstration activities and public procurement of innovation)</td>
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EU Bureau; based on COM Fiche 28 (MFF) on „Synergies“
Example: Horizon 2020 meta-work programme „regional dimension, smart specialisation and synergies“ (excerpt)

<table>
<thead>
<tr>
<th>Horizon 2020 activities</th>
<th>Links to „smart specialisation“ / ESIF</th>
<th>Thematic objectives of ESIF</th>
</tr>
</thead>
<tbody>
<tr>
<td>KET; ICT</td>
<td>5 calls (CSA, IA), links to ESIF &amp; RIS3</td>
<td>i.a. R&amp;I; SME; low-carbon economy; envirnoment, resource efficiency...</td>
</tr>
<tr>
<td>KET; nano-technology, materials and production, Bio-technology</td>
<td>2 calls (CSA), links to ESIF &amp; RIS3, transregional cooperation of regions (with same key priorities)</td>
<td>i.a. R&amp;I; competitiveness of SME’s; ICT...</td>
</tr>
<tr>
<td>SC health</td>
<td>2 calls (PCP, CSA); links to ESIF &amp; RIS3 to bridge the innovation divide</td>
<td>i.a. R&amp;I; SME, efficient administration...</td>
</tr>
<tr>
<td>SC Bio-economy: food, agriculture...</td>
<td>In all calls links to ESIF &amp; RIS3, „exploit synergies wherever possible“</td>
<td>i.a. R&amp;I; SME; environment; risk management and prevention...</td>
</tr>
<tr>
<td>RI research infrastructures</td>
<td>Synergies with ESIF across all calls</td>
<td>Cross-cutting themes, i.a. R&amp;I; SME; ICT...</td>
</tr>
<tr>
<td>SC Climate, Environment</td>
<td>10 calls (CSA, IA), links to ESIF &amp; RIS3</td>
<td>i.a. R&amp;I; SME; climate adaptation; Anpassung an Klimawandel; envirnoment, resource efficiency...</td>
</tr>
<tr>
<td>MSCA Marie-Skłodowska-Curie</td>
<td>All calls with links to ESIF &amp;RIS3, support to programmes implementing regional and national funding priorities</td>
<td>Cross-cutting; mobility actions, education and training</td>
</tr>
</tbody>
</table>
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Cohesion Policy Players in Germany (Sponsors, Decision-Makers)

**EU:**
- **COM** (GD Regionalpolitik),
- **EP** (REGI-Ausschuss),
- **Council** (RAG Strukturelle Maßnahmen; RfAA (St-Ebene))

**Regions**

**Gov**

**Joint positions**

**Programming instruments**
- Common Strategic Framework (CSF) (Annexe to CPR)
- Partnership Agreement (PA) Between MS and COM

**OP-MA’s** (typical Departments):
- State chancelleries
- Europe
- Economy
- Finance
- Labour/ Employment/ social affairs (ESF)
- Agriculture/ environment (EMFF/ ELER)

**Joint positions**

**BMBF:**
- TO 1

**BMWi:**
- Coordination
- ERDF
- TO 2+3

**BMU:**
- TO 4

**BMAS:**
- ESF

**BMELV:**
- ESF
- EAFRD

**BMVI:**
- ETc (INTERREG)

**221:**
- ESIF in-house coord.

**421:**
- ERDF

**321:**
- ESF

**223:**
- EU R&I policy
- Synergies
- H2020

**OP** (national / regional)
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National Synergies Dialogue in Germany

National Dialogue on Synergies Horizon 2020 and the European Structural Investment Funds:
Information, Dialogue, Learning
"National coordination mechanism for cooperation und dialogue between involved stakeholders“
(Partnership Agreement DE - COM)

- pool of policy/strategy information
- map of regional funding priorities and stakeholders
- good practice
- newsletter

Topics with added value through synergies (e.g. innovative public procurement)

Web Portal
www.eu-synergien.de

Bund-Länder Working Group

Proposers

National & Regional Public Authorities

Experts and Stakeholders

Workshops (thematic, target groups ...)

New Formats of Support

Advisory Services (NCPs, regional offices etc.)

- information dialogue/alignment
- national positioning
- programme planning
- cooperation

- integrated advisory services for proposers
- guidance and training
National dialogue – first results

- Joint Workshop: Northrhine-Westphalia and BMBF
- Topic of common interest and relevance for synergies: innovative procurement
- Multi-stakeholder-approach
- Interactive sessions (break-out, panels…)

Impact:
- Continuous exchange format launched by NCP coordination in Germany: experienced entities – newcomers – support services (national, NCP’s etc.)
Regional implementation: „building bridges“ (Northrhine-Westphalia) (ERDF funded)

ESI-Fonds and actors in NRW

- NRW-Min. Employment
- NRW-Min. Economy
- NRW-Min. Env./Agriculture
- EAFRD

- ESF
- NRW agency GIB
- ERDF Secretariat
- Energy agency

- NRW.Min. Economy counseling
- Lead market agency counseling
- Energy agency counseling
- Efficiency agency counseling

analyses - strategies – technologies – actors - information

- ZENIT / NRW.Europa
- HORIZON 2020
- NRW-Min. IWF

SYNERGIES
Support to Widening Participation measures (SEWP)

Some recommendations to NCP‘s:

• Find your **allies** in the national R&I eco-system (Rector‘s Conference, major RTO‘s, regional associations etc.)

• Team-up with national **multipliers** to promote SEWP (**joint events** and campaigns)

• Address multipliers to **raise awareness** of the „alien“ programme part (ESIF for H2020, H2020 for ESIF stakeholders)

• Get involved in the **policy debate** in your country to know what‘s going on (national positions, programmes, trends…)

• In your **organisation**, link-up functions for policy counselling on synergies (if existing) and NCP („personnel union“)

**COMMUNICATE!**  **LISTEN!**  **SHARE!**
Team Regional Dimension of European R&I

Deutsches Zentrum für Luft- und Raumfahrt e. V. (DLR)
Projektträger | Europäische und internationale Zusammenarbeit | EU-Büro des BMBF

Matthias Woiwode von Gilardi | Team Leader
Phone +49 228 3821-1654
Matthias.WoiwodevonGilardi@dlr.de

Pamela Weber | Synergies Dialogue Horizon 2020-ESIF
Phone +49 228 3821-2024
Pamela.Weber@dlr.de

Oliver Rohde | NRW Brückenbildung (building bridges)
Phone +49 228 3821-1891
Oliver.Rohde@dlr.de

Andreas Kahle | NCP SEWP
Phone +49 228 3821-1829
Andreas.Kahle@dlr.de

Birte Wollenhaupt | EU Research Policy
Phone +49 228 3821-1892
Birte.Wollenhaupt@dlr.de

Matthias Sell | Student Placement
Phone +49 228 3821-1639
Matthias.Sell@dlr.de

**SERVICE**

- Intelligence on Synergies Horizont 2020 and ESIF (RIS3, OP)
- Pathfinder on structural funding, priorities and contact persons in the regions (Länder) (Signposting),
- Integrated counselling H2020-proposers/ region
- Training on specific Topics
- Newsletter “Regionen und EU-Synergien”

You have concrete questions or need support? Make your cases!

DLR-PT.de | eubuero.de | eu-synergien.de
Thank you for participating!

Matthias Woiwode von Gilardi
Team Leader / Head of Synergies Dialogue Secretariat
DLR Project Management Agency
Matthias.WoiwodevonGilardi@DLR.de