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5 **Influence of wetting process on the estimation of water retention curve**  
6 **of tilled soils**

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23

1 **Abstract**

2 Correct estimations of the soil water retention curve (WRC) is of paramount importance to  
3 characterize the hydraulic behaviour of soils. This paper studies the influence of two different soil  
4 wetting processes (waterlogged soil, WP, and capillary rise to saturation, CRP) on the estimate of the  
5 WRC. The two procedures were applied on undisturbed loam soil samples with three different  
6 degrees of soil structure: (i) consolidated soils under conventional tillage (CT), reduced tillage (RT)  
7 and no tillage (NT), (ii) freshly tilled soil under CT and RT, and (iii) CT and RT after secondary  
8 tillage plus some intense rainfalls events. The WRCs were estimated with TDR-pressure cells and the  
9 volumetric water content was measured at saturation conditions (for the WP method) and at pressure  
10 heads of 0.5, 1.5, 3, 5, 10, 50, 100, 500, and 1500 kPa. The same cores were used to determine the  
11 soil bulk density ( $\rho_b$ ), which was subsequently used to estimate the saturated water content under  
12 CRP. The  $\rho_b$  value of the consolidated soil under NT was significantly higher ( $p < 0.001$ ) than that  
13 measured in CT and RT. No effect of the wetting process on the WRC of consolidated soils was  
14 observed. Only the freshly tilled soil samples under RT were significantly affected by the wetting  
15 process. In these cases, the water draining after flooding the soil (WP) collapsed the more unstable  
16 soil macropores and increased the volume of the smaller ones. This effect however was minimized by  
17 the CRP method, which prevented the collapse of the more unstable soil pores. This work  
18 demonstrates that the soil wetting process may have an important effect on the characterization of the  
19 water holding capacity on freshly tilled soils.

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21 *Key words:* Conventional tillage; Reduced tillage; No tillage; Pressure cell; Undisturbed soil samples

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1 **1. Introduction**

2 The soil water retention curve (WRC), which is defined as the relationship between the matric  
3 potential ( $\psi$ ) and soil volumetric water content ( $\theta$ ), is essential to characterize the hydraulic and  
4 mechanical behaviour of unsaturated soils. This property is dependent upon the particle-size  
5 distribution, which determines the soil texture, and the arrangement of the solid particles, which  
6 refers to the soil structure (Dane and Hopmans, 2002). Tillage systems have a significant influence  
7 on WRC. Under well-structured soil conditions, soils under no-tillage present WRCs with a more  
8 gradual reduction in water content as tension increases, and lower water content close to saturation  
9 (Evetts et al., 1999; Schwartz et al., 2003). Loosening of the soil surface by tillage increases the total  
10 soil porosity (Green et al., 2003; Moret and Arrúe, 2007; Strudley et al., 2008; da Veiga et al., 2008)  
11 through both an increase in the pore volume at the wet end of the soil water retention curve (Ahuja et  
12 al., 1998) and a decrease in the pore fractions corresponding to more negative pressure heads (Mapa et  
13 al., 1986; Schwärzel, 2011). However, the rainfall impact on the freshly tilled soils and the associated  
14 wetting and drying cycles modify again the WRC shape, which tends to reduce the volume of the  
15 largest pores and keep constant and sometimes even increase the frequency of smallest ones  
16 (Rousseva et al., 2002).

17 The standard laboratory apparatus used to measure WRCs is the pressure extractor. A pressure  
18 plate extractor referred to as a “Tempe cell” is commonly used for pressure heads up to 100 kPa. For  
19 higher pressure heads (typically 1500 kPa) more robust pressure cells are employed (Wand and  
20 Benson, 2004). Depending on the dimensions of the pressure plate extractor, disturbed or intact soil  
21 samples can be used. Soil samples are commonly wetted by the base until the matrix of the soil is  
22 waterlogged. The soil volumetric water content at a given pressure head is calculated from the  
23 gravimetric water content and the dry bulk density (Dane and Hopmans, 2002). The  $\theta$  can also be  
24 estimated by alternative methods like TDR, which characteristics provide a flexible means of

1 measuring  $\theta$  at multiple locations without requiring soil-specific calibration in many cases (Wraith  
2 and Or, 2001; Jones et al., 2005; Moret-Fernández et al., 2008; Moret-Fernández et al., 2012)

3 In spite of the numerous studies available in the literature to characterize the WRC on undisturbed  
4 well-structured soils samples, data of WRC measured on freshly tilled soils, or information about the  
5 influence of the soil wetting process on the WRC are nonexistent. For instance, Moret-Fernández et  
6 al. (2008) studied the effect of the soil wetting rate on the WRC of a soil sample with 2-4-mm size  
7 aggregates, and found that fast wetting processes result in disintegration of aggregates and drastic  
8 changes in the WRC shape. The objective of this work is to study the influence of the soil wetting  
9 process on the WRC estimation on soil samples with different structure. Two wetting processes,  
10 waterlogged soil and capillary rise at 0 cm of pressure head, were applied on undisturbed soil  
11 samples collected from three different tillage systems (conventional tillage, CT, reduced tillage, RT  
12 and no tillage, NT) before and after tillage operations.

13

## 14 **2. Material and methods**

15 The soil samples were taken from a dryland research farm located at the Estación Experimental de  
16 Aula Dei (CSIC) in the province of Zaragoza (latitude 418440N; longitude 08460W; altitude 270 m).  
17 The climate is semiarid with an average annual precipitation of 390 mm and an average annual air  
18 temperature of 14.5 °C. Soil at the research site is a loam (fine-loamy, mixed thermic Xerollic  
19 Calciorthid) according to the USDA soil classification (Soil Survey Staff, 1975). Particle size  
20 distribution for the plough layer (0–40 cm) averages 25% clay, 47% silt and 28% sand. Selected  
21 physical and chemical properties of the soil for this layer were given in Blanco-Moure et al. (2012).  
22 The experimental plots, which were set up on a nearly level area (slope 0–2%) of land in 1992, were  
23 in winter barley (*Hordeum vulgare* L.)-fallow rotation. The study was conducted when the field was  
24 in the long-fallow phase of this rotation, which extends from harvest (June–July) to sowing

1 (November–December) of the following year. The soil samplings were taken during the 2013-2014  
2 fallow season.

3 Three different fallow management treatments were compared: conventional tillage (CT), reduced  
4 tillage (RT) and no-tillage (NT). The CT treatment consisted of mouldboard ploughing of fallow  
5 plots to a depth of 30–40 cm in late winter or early spring, followed by secondary tillage with a  
6 sweep cultivator to a depth of 10–15 cm in late spring. In RT, primary tillage was chisel ploughing to  
7 a depth of 25–30 cm (non-inverting action), followed by a pass of the sweep cultivator in late spring.  
8 NT used exclusively herbicides (glyphosate) for weed control throughout the fallow season. The  
9 tillage treatments were arranged in a complete block design, with three replications per treatment.

10 The size of the basic plot was 33.5 m x 10 m, with a separation of 1 m between plots. Two sampling  
11 sites existed in each plot. With this sampling scheme, a total of 18 measurements (6 per treatment)  
12 were made. Three sampling dates were selected: (i) before primary tillage on consolidated soil (CT,  
13 RT, NT) ( $S_1$ ), after 15 months without soil alteration, (ii) just after primary tillage on freshly tilled  
14 soil (CT, RT) ( $S_2$ ), and (iii) after secondary tillage plus a post-tillage cumulative rainfall of 53 mm  
15 (CT, RT) ( $S_3$ ). Due to the soil properties under NT does not change along a fallow period (Moret and  
16 Arrue, 2007), only one NT-sample has been included in this study.

17 Soil samples were taken from the 1-10 cm depth soil layer. Two soil cores (50 mm diameter and  
18 50 mm height) were taken per sampling site, one for the WP and the other one for the CRP method.  
19 The same cores were used to estimate the soil bulk density,  $\rho_b$ , (Grossman and Reinsch, 2002) and  
20 the WRC. The soil cores were air dried in laboratory during several weeks, and the WRC were  
21 measured with TDR-pressure cells (Moret-Fernández et al., 2012). Two soil wetting processes were  
22 considered:

- 23 (i) Waterlogged soil (WP), in which the soil cylinder was wetted by the bottom at positive  
24 pressure heads until the water starts to flow by the top of the cylinder. To prevent a fast  
25 soil wetting, a 0.5 bars ceramic disc was placed on the base of the TDR-cell.

1 (ii) Soil water capillary rise at 0 cm of pressure head (CRP) at the cylinder base. In this case,  
 2 the soil cylinder was placed on a saturated ceramic disc which, connected to a water  
 3 reservoir, allowed the soil was slowly wetted by capillarity rise. This process lasted about  
 4 24 h, and finished when no more water was taken by the soil.

5 The volumetric water content ( $\theta$ ) was measured by TDR at saturation conditions (for WP) and at  
 6 pressure heads ( $h$ ) of 0.5, 1.5, 3, 5, 10, 50, 100, 500, and 1500 kPa. Once finished the last tension, the  
 7 soil cores were dried at 105°C for 24 h, weighted, and the  $\rho_b$  calculated. The WRCs and the  
 8 corresponding effective saturation curves,  $S_e$ , were fitted to the Durner (1994) bimodal function using  
 9 the SWRC Fit Version 1.2. software (Seki, 2007) (<http://seki.webmasters.gr.jp/swrc/>), according to

$$10 \quad S_e = \frac{\theta - \theta_{res}}{\theta_{sat} - \theta_{res}} = w \left[ \frac{1}{1 + (\alpha_1 \psi)^{n_1}} \right]^{m_1} + (1 - w) \left[ \frac{1}{1 + (\alpha_2 \psi)^{n_2}} \right]^{m_2} \quad (1)$$

$$11 \quad 0 < w < 1$$

$$12 \quad \sum w_i = 1$$

$$13 \quad \alpha_i > 0; m_i > 0; n_i > 0$$

14 where  $\psi$  is the soil matric potential ( $\psi = -h$ ),  $n_i$  is the pore-size distribution parameter,  $m_i = 1 - \frac{1}{n_i}$ ,

15  $\alpha_i$  is the scale factor,  $\theta_{sat}$  and  $\theta_{res}$  are the saturated and residual volumetric water content,  
 16 respectively, and  $w$  is a weighting factor for the subcurves. The  $\theta_{res}$  was automatically calculated  
 17 by the SWRC Fit from the pair of  $\psi$ - $\theta$  values measured by the TDR-pressure cells. The  $\theta_{sat}$  used  
 18 in the CRP method corresponded to the soil total porosity,  $\phi$ , calculated according to

$$19 \quad \phi = \left[ 1 - \left( \frac{\rho_b}{\rho_p} \right) \right] 100 \quad (2)$$

1 where  $\rho_p$  is the particle bulk densities. The average  $\rho_p$  measured in laboratory for the three treatments  
2 was  $2.60 \text{ g cm}^{-3}$ . In WP, the  $\theta_{sat}$  was calculated as the average between  $\phi$  and the water content at  
3 saturation measured by TDR. The first summatory of Eq.(1) was assigned to the soil macropores ( $0 <$   
4  $\psi < -0.5 \text{ kPa}$ ). Because not data was available within this range of soil tensions, no consistent  
5 statistical analysis was possible. In this case, the estimated  $\alpha_1$  and  $n_1$  were taken as merely indicative  
6 data. Thus, the statistical analysis was limited to the  $\theta_{sat}$ ,  $\theta_{res}$ ,  $\alpha_2$  and  $n_2$ .

7 The WRC can be also analyzed by the equivalent pore-size density,  $C^*$ , expressed as (Durner,  
8 1994)

$$9 \quad C^* = \frac{dS_e}{d\psi} \quad (3)$$

10 Although  $C^*$  does not provide direct information about the porosity, it gives a measure of the relative  
11 abundance of pore size (Or et al., 2000).

12 The effect of wetting process on the soil water content was evaluated within each suction head,  
13 tillage treatment and sampling date by an one-way analysis of variance (ANOVA). This analysis was  
14 also used to compare the effect of wetting process on the  $\theta_{sat}$ ,  $\theta_{res}$ ,  $\alpha_2$  and  $n_2$ . When tillage treatment  
15 was evaluated, statistical comparisons were made using analysis of variance (ANOVA) for the  
16 randomized complete block design with three replicates per treatment. All statistical analysis were  
17 performed with the R software (V. 3.1.1; copyright © 2014 The R Foundation for Statistical  
18 Computing).

19

### 20 **3. Results and discussion**

21 The  $\rho_b$  for consolidated soils under NT was significantly higher ( $p < 0.001$ ) than under CT and  
22 RT (Fig. 1). After 15 months without soil alteration ( $S_1$ ), no significant differences between CT and  
23 RT were observed. The influence of the tillage operation ( $S_2$ ) was more pronounced in RT, where  $\rho_b$   
24 was significantly lower ( $p < 0.001$ ) than that measured under CT (Fig. 1). This effect, however, was

1 transient, since  $\rho_b$  tended to recover its initial value after a copious rainfall period (S<sub>3</sub>). Similar results  
2 were obtained by Moret and Arrúe (2007), Strudley et al. (2008) and da Veiga et al. (2008), among  
3 others. While no significant effect of the sampling date on  $\rho_b$  was observed in CT, the  $\rho_b$  in S<sub>2</sub> under  
4 RT was significant lower than that measured in S<sub>3</sub> (Fig. 1). No significant differences ( $p < 0.05$ )  
5 between the  $\rho_b$  taken for WP and CRP were observed.

6 Except for NT, where the van Genuchten (1980) (Eq. 1 for  $w = 0$ ) model yielded satisfactory fit,  
7 CT and RT treatments required a bimodal WRC function (Fig. 2). These results indicate that a  
8 marked double porosity system, with macro- ( $\psi < -0.5$  kPa) and meso- plus micropores ( $\psi > -0.5$   
9 kPa), was found in the tilled systems. According to Clothier and White (1981), soil macropores are  
10 defined as those pores that drain at  $\psi > -0.4$  kPa. The absence of double porosity in NT can be related to  
11 the higher soil compaction under this treatment (Fig. 1), that reduces the volume of soil pores at near  
12 saturation conditions (Schwartz, et al. 2003; da Veiga et al., 2008). Under these conditions, NT  
13 showed the smallest  $\alpha$  values (Table 1), which should be also related to a higher soil compaction (Fig  
14 .1) (Schwartz et al., 2003; Calonego et al., 2011).

15 No significant effect of the wetting process on WRC (Fig. 2) and the corresponding the  $\theta_{sat}$ ,  $\theta_{res}$ ,  
16  $\alpha_2$  and  $n_2$  parameters (Table 1) were observed for all tillage treatments on the consolidated soils  
17 samples (S<sub>1</sub> and S<sub>3</sub> samplings). Analysis of  $C^*$  (Eq. 3) (Fig. 3) show differences between CRP and  
18 WP for soil suctions  $< -0.5$  kPa, however the lack of data between 0 and -0.5 kPa makes that these  
19 differences are merely indicative. A different behaviour was observed on the freshly tilled soils  
20 samples (S<sub>2</sub>) under RT, where the wetting process showed a significant influence ( $p < 0.05$ ) on the  
21 WRC curves (Fig. 2) and the Durner (1994) parameters (Table 1). In this case, the  $\alpha_2$  and  $n_2$  under  
22 CRP was significantly higher and lower, respectively, than the corresponding values estimated with  
23 WP. The main differences were contained between 0 and -10 kPa of soil tensions (Fig. 3), which  
24 corresponds to the structural soil component ( $\psi > -100$  kPa). As observed by Rousseva et al. (2002),

1 these results should be attributed to the drainage effect after flooding the soil, which collapses the  
2 more unstable macropores ( $\psi < -0.5$  kPa) and keeps constant and sometimes even increases the  
3 frequency of smallest ones ( $\psi > -0.5$  kPa) (Fig.3). This problem, however, vanished under CRP  
4 where the soil wetted by capillary rise allows keeping almost intact the most unstable pores. For CT,  
5 however, the influence of the wetting process was not as pronounced as in RT. In this case, no  
6 statistical differences in the WRC parameters on the freshly tilled soils were found between both soil  
7 wetting processes (Table 1.). This different behaviour between CT and RT may be related to the soil  
8 structure created by tillage. The cutting action of chisel plough (RT) produces channels and cracks  
9 between soil aggregates, creating a porosity consisting of inter-connected packing voids with large  
10 equivalent diameters (Kribaa et al., 2001; Leão et al., 2014), which results in a significantly lower  $\rho_b$   
11 (Fig. 1) However, this favorable macroporosity for water and air movement with non-inverting tillage  
12 seems to be short-lived due to fast soil reconsolidation (Busscher et al., 1995; Xu and Mermoud,  
13 2001). This may reflect a more unstable macroporosity and explain the higher effect of the wetting  
14 method under RT (Figs. 2 and 3). The copious rainfall events recorded before the S<sub>3</sub> sampling (53  
15 mm) consolidated the structure of the freshly tilled soil, which prevented the pore collapse during the  
16 wetting-drying process.

17

#### 18 **4.- Conclusions**

19 This paper compares the influence of two different soil wetting processes (waterlogged soil, WP,  
20 and capillary rise to saturation, CRP) on the estimation of the water retention curve (WRC) of  
21 undisturbed soil samples with different structure: consolidated soil samples from three different  
22 tillage systems (conventional tillage, CT, reduced tillage, RT and no tillage, NT), and freshly tilled  
23 and tillage plus some intense rainfalls (CT and RT) soil samples. Only the freshly tilled soil samples  
24 were affected by wetting process. In these cases, the water draining after flooding the soil tended to  
25 collapses the more unstable soil macrostructure and increases the volume of the smaller ones. This

1 effect however was minimized with CRP method, which prevents the collapse of the more unstable  
2 soil pores. Thus, this work demonstrates that the soil wetting process can have an important effect on  
3 the characterization of the water holding capacity on freshly tilled soils.

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## Figure captions

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3 **Figure 1.** Average soil bulk density ( $\rho_b$ ) measured at the different sampling dates ( $S_1$ ,  $S_2$ ,  $S_3$ ; see text  
4 for details) and tillage managements (conventional tillage, CT, reduced tillage, RT and no  
5 tillage, NT). Different lowercase letters indicate significant differences among tillage treatments  
6 within the same sampling date ( $p < 0.05$ ). Different uppercase letters indicate significant  
7 differences among sampling dates for a same tillage treatment ( $p < 0.05$ ). Vertical bar within  
8 each column denotes the standard deviation.

9

10 **Figure 2.** Average measured (exp) and modelled (mod) (Eq. 1) water retention curves estimated at  
11 the different sampling dates ( $S_1$ ,  $S_2$ ,  $S_3$ ; see text for details) and tillage managements  
12 (conventional tillage, CT, reduced tillage, RT and no tillage, NT), wetted by waterlogged (WP)  
13 and capillary rise to saturation (CRP) processes. Vertical lines and '\*\*\*', '\*\*' and '\*' indicate  
14 the standard deviation and significant differences within each suction head at  $p < 0.001$ , 0.01 and  
15 0.05, respectively.

16

17 **Figure 3.** Equivalent pore-size density ( $C^*$ ) simulated from the water retention parameters of Table 1  
18 at the different sampling dates ( $S_1$ ,  $S_2$ ,  $S_3$ ; see text for details) and tillage managements  
19 (conventional tillage, CT, reduced tillage, RT and no tillage, NT) wetted by waterlogged (WP)  
20 and capillary rise to saturation (CRP) processes. Vertical dash-dot line denotes the 0.5 kPa soil  
21 tension threshold.

22

23

1 **Table 1.** Values for the parameters of the bimodal water retention curves (Eq. 1) estimated for the different samplings dates (S<sub>1</sub>, S<sub>2</sub> and S<sub>3</sub>; see  
2 text for details), soils tillage treatments (conventional tillage, CT, reduced tillage, RT and no tillage, NT) and soil wetting processes (soil water  
3 flooding, WP, and soil water capillarity rise, CRP), and coefficient of determination (R<sup>2</sup>) for the best fit between the averaged measured and  
4 modeled water retention curves. Pair of WP and CRP values with different letter indicates significant differences between soil wetting  
5 processes at p < 0.05.

6

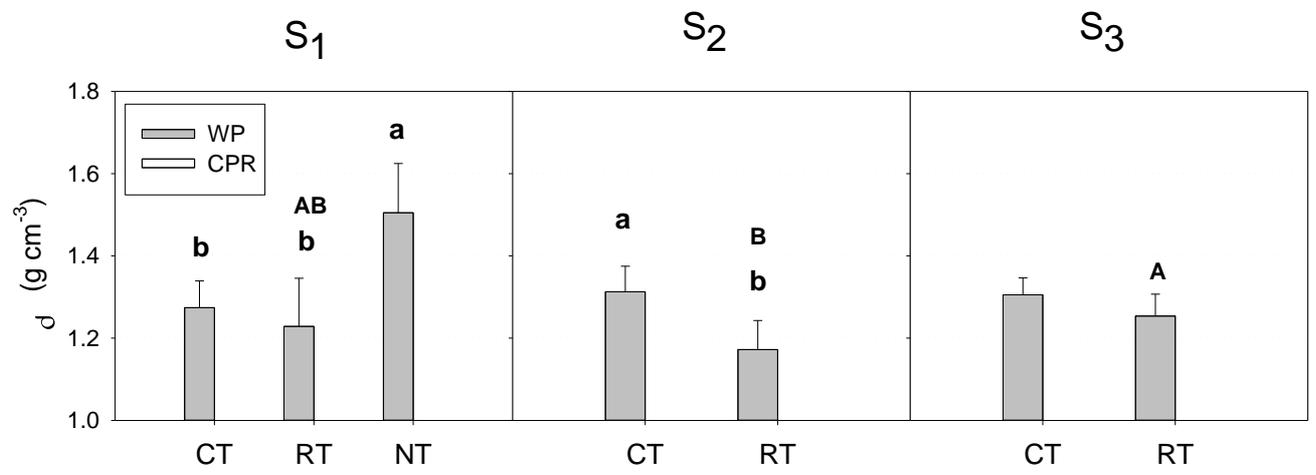
			$\theta_{sat}$	$\theta_{res}$	$w$	$\alpha_1$ (kPa <sup>-1</sup> )	$n_1$	$\alpha_2$ (kPa <sup>-1</sup> )	$n_2$	R <sup>2</sup>
S <sub>1</sub>	CT	WP	0.50 <b>a</b>	0.26 <b>a</b>	0.08	43.65	23.49	0.41 <b>a</b>	1.56 <b>a</b>	0.99
		CRP	0.48 <b>a</b>	0.29 <b>a</b>	0.24	12.32	31.83	0.56 <b>a</b>	1.82 <b>a</b>	0.99
	RT	WP	0.51 <b>a</b>	0.27 <b>a</b>	0.14	2.21	14.41	0.42 <b>a</b>	1.58 <b>a</b>	0.99
		CRP	0.52 <b>a</b>	0.25 <b>a</b>	0.29	8.60	12.26	0.49 <b>a</b>	1.75 <b>a</b>	0.99
	NT	WP	0.46 <b>a</b>	0.33 <b>a</b>	-	-	-	0.32 <b>a</b>	1.64 <b>a</b>	0.99
		CRP	0.45 <b>a</b>	0.28 <b>a</b>	-	-	-	0.38 <b>a</b>	2.18 <b>a</b>	0.99
S <sub>2</sub>	CT	WP	0.52 <b>a</b>	0.27 <b>a</b>	0.09	230.05	17.16	0.28 <b>a</b>	1.86 <b>a</b>	0.99
		CRP	0.49 <b>a</b>	0.28 <b>a</b>	0.27	54.56	2.10	0.20 <b>a</b>	1.68 <b>a</b>	0.97
	RT	WP	0.51 <b>b</b>	0.27 <b>a</b>	0.08	5.99	2.92	0.89 <b>a</b>	1.55 <b>b</b>	0.99
		CRP	0.55 <b>a</b>	0.24 <b>b</b>	0.53	29.52	2.42	0.29 <b>b</b>	1.92 <b>a</b>	0.98
S <sub>3</sub>	CT	WP	0.48 <b>a</b>	0.26 <b>a</b>	0.08	4.61	19.92	0.35 <b>a</b>	1.70 <b>a</b>	0.99
		CRP	0.47 <b>a</b>	0.29 <b>a</b>	0.19	17.78	16.63	0.29 <b>a</b>	1.90 <b>a</b>	0.99
	RT	WP	0.50 <b>a</b>	0.29 <b>a</b>	0.02	7.55	9.64	0.36 <b>a</b>	1.97 <b>a</b>	0.99
		CRP	0.50 <b>a</b>	0.25 <b>a</b>	0.36	19.40	17.82	0.22 <b>a</b>	1.59 <b>a</b>	0.98

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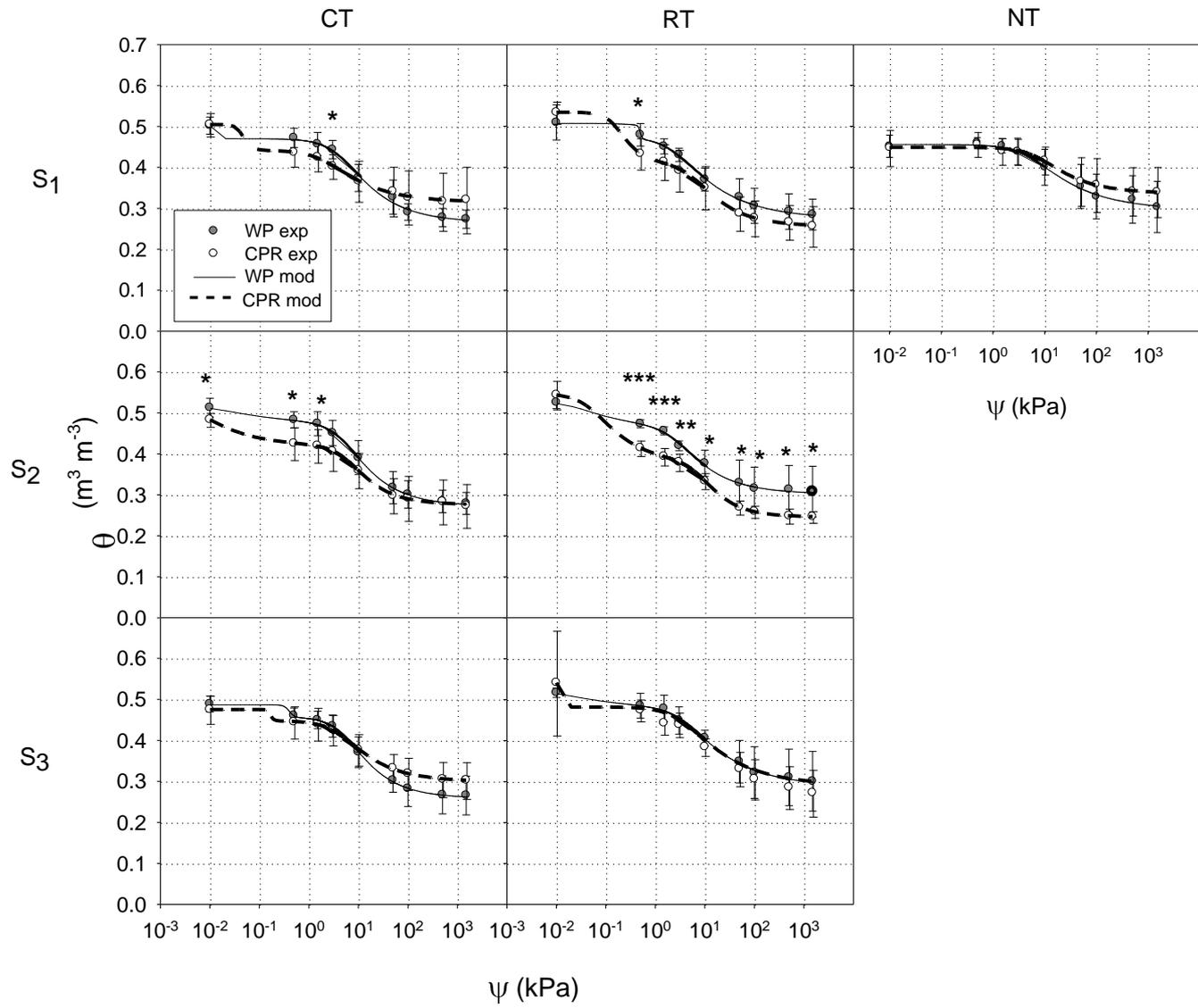
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7 **Figure 1.**

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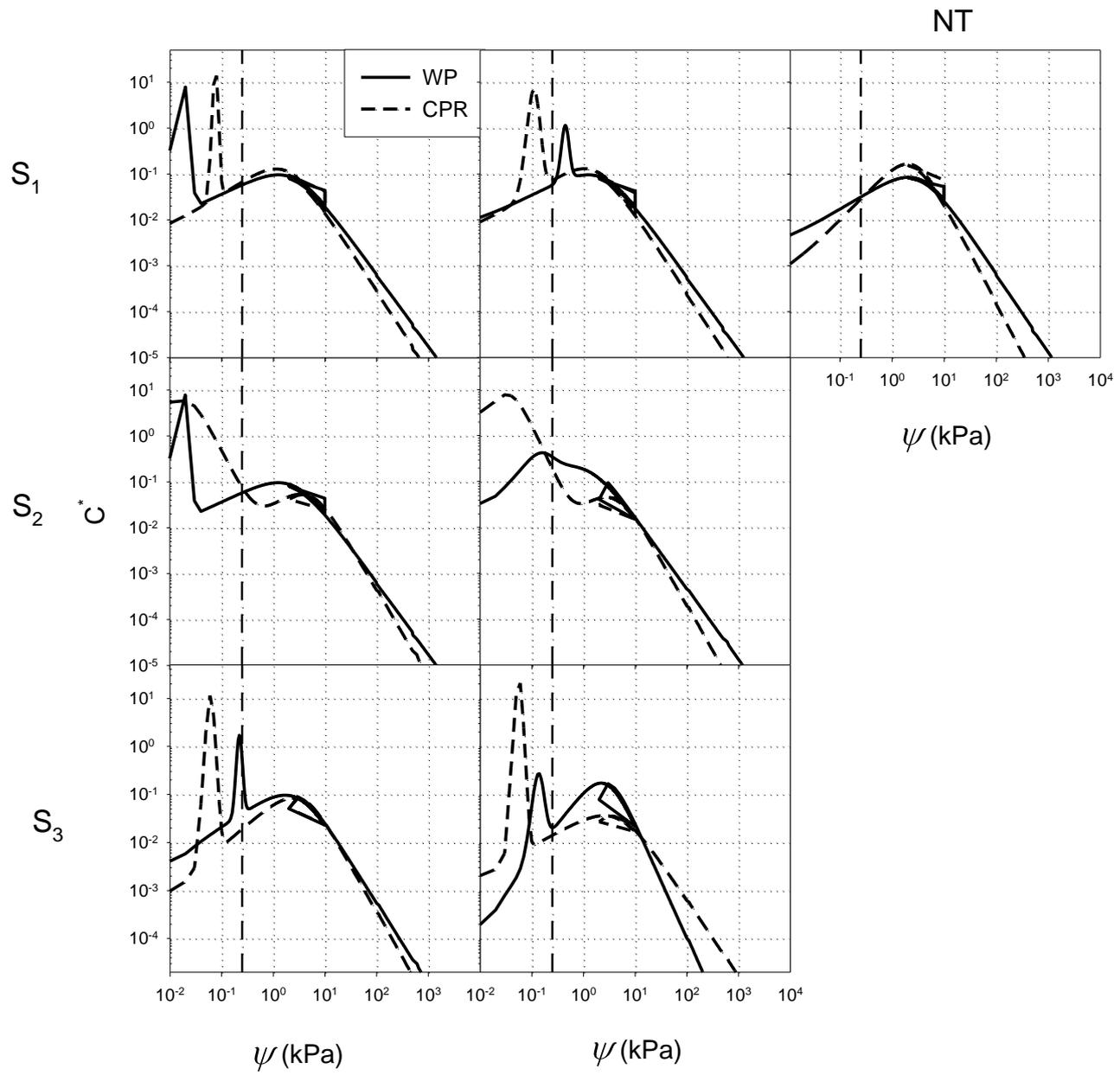
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3 **Figure 2.**

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2 **Figure 3.**

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