Tunable Band Alignment with Unperturbed Carrier Mobility of On-Surface Synthesized Organic Semiconducting Wires

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ABSTRACT: The tunable properties of molecular materials place them among the favorites for a variety of future generation devices. In addition, to maintain the current trend of miniaturization of those devices, a departure from the present top-down production methods may soon be required and self-assembly appears among the most promising alternatives. On-surface synthesis unites the promises of molecular materials and of self-assembly, with the sturdiness of covalently bonded structures: an ideal scenario for future applications. Following this idea, we report the synthesis of functional extended nanowires by self-assembly. In particular, the products correspond to one-dimensional organic semiconductors. The uniaxial alignment provided by our substrate templates allows us to access with exquisite detail their electronic properties, including the full valence band dispersion, by combining local probes with spatial averaging techniques. We show how, by selectively doping the molecular precursors, the product’s energy level alignment can be tuned without compromising the charge carrier’s mobility.

KEYWORDS: on-surface synthesis, doping, band structure, poly(para-phenylene), pyridinic functionalization

Bottom-up covalent assembly is nowadays a versatile approach to synthesize low dimensional materials.1−3 Its combination with well-defined substrates, typically termed as “on-surface chemistry”, has achieved great progress over the past few years.5,6 Planar multifunctional molecular precursors with different size and shape have been used to grow networks with modular porosity and controlled connectivity.5−9 Moreover, the use of different functional groups and different substrates has afforded a significant increase of the degree of long-range order through either stepwise or hierarchical approaches.10−12 However, little attention and few experimental studies have been so far devoted to the study of the electronic properties of such surface...
supported polymers by averaging techniques. To some extent this is because many of the produced surface-supported networks have a considerable level of structural defectiveness on the large scale and the probed species not always represent the majority product of the synthesis. Thus, intrinsically local probes such as scanning tunnelling microscopy (STM) and spectroscopy (STS) have been the primary tools for exploring these surface-confined products. For this reason, although significant computational efforts have been devoted to investigate the electronic properties of 1D and 2D polymers, experiments providing full band dispersion of such polymeric materials and thus a deeper understanding of their electronic properties are still scarce. Such properties of the polymer/substrate interface are, however, of outmost importance for the system’s ultimate functionality. Their understanding and how to tune them by, for instance, chemical modifications, is thus a requisite toward the rational design and electronic structure engineering of functional materials and interfaces.

Poly-para-phenylene (PPP, see Figure 1) is a rigid-wire π-conjugated polymer that has attracted considerable attention. Its large band gap has been exploited to obtain blue light emitting diodes and its conductivity can be easily increased through oxidative or reductive doping. Additionally, the booming interest in armchair graphene nanoribbons (A-GNR) has recently evidenced that PPP can be considered as the simplest functionalized (or surface-supported oligomers, rather than tested directly. In this work, we exploit on-surface synthesis to obtain extended and macroscopically ordered PPP wires and two dimensional networks. We report their full characterization by combined local (STM, STS) and surface averaging techniques (angle resolved photoemission (ARPES), core-level photoemission (XPS), near-edge X-ray absorption fine structure spectroscopy (NEXAFS) and low energy electron diffraction (LEED)), as well as by ab initio calculations based on density functional theory (DFT). Linking theory and experimental work, we have examined the energy-momentum dispersion of extended PPP molecular wires, and their electronic structure dependence on nitrogen doping in specific sites of the organic scaffold has been probed.

**RESULTS AND DISCUSSION**

Figure 1 summarizes the adopted synthetic protocol and shows the chemical structure of the building blocks. Ullmann-like surface-assisted polymerization of brominated molecular precursors has been carried out to produce the polymers. Some of us have recently reported a detailed study on this synthesis protocol using 4,4′-dibromo-p-terphenyl (monomer 1 in Figure 1) on Au(111) and the structural characterization of the final products: PPP wires and graphene nanoribbons.

Since surface averaging momentum-resolved measurements require the macroscopic alignment of the PPP chains, the polymers were prepared by covalent coupling of 4,4′-dibromo-p-terphenyl (1) on clean Au(887). This surface is vicinal to the (111) plane and shows a periodic succession of narrow terraces (≈3.9 nm wide) with [111] plane orientation, whose monatomic steps run along the [110] direction. Because PPP wires grow on Au(111) with their main axis parallel to the [110] direction, Au(887) allows one to align the polymers to obtain a highly anisotropic sample (see Figure 2a). As a result, the LEED pattern corresponds to a superstructure involving uniaxially aligned polymer chains commensurate with the substrate. A well-defined interchain spacing of ≈10 Å along the [112] direction is evidenced by the LEED spots marked with blue arrows in Figure 2. This spacing supports the presence of Br atoms in between the polymer chains, also observed by STM. Along the chains we infer a periodicity of ≈8.4 Å (0.75 Å−1), twice the phenyl—phenyl distance, which coincides with thrice the Au lattice parameter. An epitaxial matrix [4 2 0 3] is thus in excellent agreement with our LEED image. However, an unresolved striped motif is observed due to the lack of phase relation between the phenyl rings of adjacent chains (see Figure S1).

The experimental band structures, $E$ versus $k$, of the clean and PPP-covered Au(887) are illustrated in Figure 3, panels a and b, respectively. From this direct comparison, it is clear that the...
valence band with maximum (VBM) at $E = -1.04$ eV, $k_\parallel = 1.49$ Å$^{-1}$ and dispersing all the way to $E = -6.3$ eV at $\Gamma$ originates from the polymeric wires (band marked with the green arrow in Figure 3b and in Figure S2, where the ARPES second derivative is displayed). The derived real space periodicity (4.21 Å) relates well with the expected inter-ring spacing of $\approx 4.3$ Å (1.46 Å$^{-1}$) assuming, as previously observed on para-sexenyl, that due to modulation of the photoemission transition matrices the measured band maximum corresponds to the polymer structure’s second Brillouin zone. In addition, three nondispersive bands at $-2.7$, $-4.6$, and $-6.22$ eV are observed, marked by dotted lines in Figure 3b.

DFT calculated band structure (reported in Figure S3) performed on free-standing polymers, as well as on Au(111)-supported PPP wires with the experimentally found geometry, reproduces correctly the main features of experimental ARPES, such as the curvature of the main dispersive band and the presence of quasi flat bands at energies comparable with the experiment. Calculations with and without the Br atoms remaining in between chains show that the linear arrangement of Br causes none of the bands mentioned above. Figure S3 also demonstrates that the dispersive band can be rationalized by a linear combination of benzene orbitals with the major weight at the linking carbon atoms (i.e., atoms 1 and 4 of each ring, related to benzene’s HOMO) resulting in a molecular orbital delocalized along the PPP wire length, whereas the quasi-flat bands stem from localized molecular orbitals resulting from linear combination of ring-localized benzene orbitals (i.e., atoms 2, 3, 5, and 6 of each ring, related to benzene’s HOMO–1). Moreover it is important to remark that the energies of the three experimentally observed nondispersive bands coincide with the intensity maxima of the clean substrate 3d bands (see Figure 3a,b). Therefore, the origin of these experimentally observed bands may be related to a combination of both substrate and PPP overlayer contributions.

Differently from the case of sexenyl, where a discretized dispersion has been observed, our on-surface polymer shows a continuous dispersion of the band due to the overlap of a higher number of monomer orbitals. A theoretical study of the electronic structure dependence on the length in polyphenylene oligomers has shown that for a molecule with 18 phenyl rings the energy difference between successive states is so small that the situation is equivalent to the formation of a continuous band. Hence, our samples (Figure 2a, Figure S4), where the typical chains greatly exceed that length (18 phenyl rings correspond to only about 8 nm), can be regarded as a good representation of the electronic structure of an infinitely long PPP chain.

Constant energy maps of the clean surface and of PPP wires at the VBM (Figure 3c) reveal that its intensity is spread almost uniformly in $k_\parallel$. That is, perpendicular to the wires (along [112]), the bands show negligible energy dispersion. Such flat bands imply that these orbitals are localized within the wires, due to a negligible intermolecular coupling. The result therefore reflects the occurrence of a truly one-dimensional band dispersion excluding electronic coupling between PPP wires.

Additional weaker features are observed at lower momentum values, see the red arrow in Figure 3d. In particular, a replica of the main dispersive band of the polymer lies at $k_\parallel \approx 0.75$ Å$^{-1}$, i.e., at half of its Brillouin zone ($\pi/4.2$ Å). This is in excellent agreement with the system’s periodicity as measured with LEED and may be understood as stemming naturally from the epitaxial relationship with the substrate (which shows commensuration at every second phenyl ring). However, the fact that the unit cell in real space is twice the Ph–Ph separation may also be interpreted by a twisted conformation of the PPP chain due to the steric hindrance between the orto hydrogen atoms of neighboring phenyl rings. Since the interaction with the substrate usually tends to flatten the molecules (in fact PPP chains are flat on Cu(110) while sexenyl is twisted in thick films), the twisted conformation should be confirmed by independent means.

Polarization-dependent NEXAFS measurements have been performed to gather insight into the adsorption geometry of the
The effect of nitrogen incorporation into the conjugated PPP chain has been investigated by two pyridinic derivatives of 4,4'-dibromo-p-terphenyl, see monomers 2 and 3 in Figure 1. By means of these molecules, the changes in the electronic and transport properties can be mapped as a function of gradually increased nitrogen content. Again, with the use of the 1D templating effect of the Au(887) vicinal surface, the targeted linear products have been obtained with a massive yield. STM imaging reveals well-oriented wires parallel to the steps direction and organized according to the same commensurate epitaxial matrix [4 2, 0 3] of the undoped PPP chains. In fact, the pyridinic derivatives are characterized by the same distance and dihedral angle between neighboring rings (Figure S6). Even if these PPP derivatives are isostructural with the undoped PPP, a closer inspection reveals an intramolecular modulation of the probed density of states with the same periodicity of the pyridinic functionalization as visible in the small-scale STM images in Figure 5a,b.

DFT simulated STM images reported in Figure 5 correctly reproduce this behavior, where the pyridine rings appear smaller than phenyl rings, due to the lack of peripheral H atoms and to the lower N-related partial density of states near the Fermi energy (as reported below in Figure 6). Further evidence for the chemical environment of the nitrogen atoms comes from core-level photoemission (see Supporting Information for the complete XPS characterization of N 1s, C 1s, Br 3d core levels). Moving to ARPES, the electronic structure probed on nitrogen-containing polymers share the main characteristics of PPP.
Indeed, we measure a 0.27 eV lower work function on Au(111) as compared to Au(788) (see Figure S12). Besides, since single chains are not flanked by Br atoms, changes in the local work function due to the absence of Br may add up to that difference. And because a lower work function generally brings about higher binding energies (although not necessarily by the same amount), this could explain our observations. (ii) A lack of screening from neighboring PPP chains or Br atoms during STS characterization may also result in higher binding energies and thereby contribute to the observed differences.

Most importantly, our STS characterization shows that the downward shift of the valence band upon nitrogen incorporation is not related to a modified band gap, but to a shift of the whole band structure. This is further corroborated with the indirect evidence of a lower energy conduction band (CB) for N-doped polymers by the shifting onset of the NEXAFS resonances (Figure S10), as well as with DFT calculations. The calculated projected densities of states (PDOS) of the different polymers are shown in Figure 6c. Apart from the offset of the conduction band and the absolute value of $E_F$, the DFT PDOS are qualitatively in agreement with our observations, since both the VB and the CB onsets shift rigidly toward lower bias voltage, leaving the band gap nearly unchanged. This effect is in agreement with the generally accepted picture of decreased electron density on the pyridine ring due to the electronegative nitrogen. Hence, the ionization potential and the electron affinity systematically increase, while the band gap is nearly unaffected, as observed for solid films of PPP (2.93 eV) and poly-pyridine (2.82−2.95 eV). Within this picture it is also reasonable to assume that the shift is not strictly linear with N content, since the first N atom takes charge from a pure hydrocarbon molecule/polymer, while subsequent N atoms must take charge from an already charge-depleted carbon backbone of the molecules/polymer. This is indeed our observation, since going from PPP to N1-PPP, ARPES reveals a valence band downward shift of 0.22 eV, while adding one more N atom from N1-PPP to N2-PPP results in a lower shift of only 0.12 eV (0.34 eV with respect to the undoped PPP).

Closely related studies on the effect of nitrogen doping have been performed on 6,11-dibromo-1,2,3,4-tetraphenyl-triphendylene precursors that afford chevron graphene nanoribbons after thermal activation. One of these studies compared precursors with none, one and two incorporated N atoms, that is, with fractions of nitrogen heteroatoms within the doped precursors of 2.4% and 4.8%, respectively. The associated valence band shifts with respect to the undoped structure were 0.14 eV (2.4% heteroatoms) and 0.23 eV (4.8% heteroatoms). The other study compared precursors with none and four N atoms, revealing band shifts of 0.5 eV (9.5% heteroatoms). The valence band shift and fraction of nitrogen heteroatoms of the precursors used in this work correspond to 0.22 eV (5.6%, N1-PPP) and 0.34 eV (11.1%, N2-PPP), thus falling within a fully comparable range with respect to previous reports (Figure S13). However, as opposed to previous works, we additionally provide insight into transport properties, gathered in terms of the effective mass ($m^*$) of holes in the valence band and derived from the curvature of the energy band. From a parabolic fit of the top of the valence band, we determined $m^* = 0.19 m_0$ for PPP, a value in agreement with DFT calculations on an infinitely long, unsupported PPP wire ($0.17 m_0$) (see Figure S3) and in line with the high carrier mobility in PPP oligomers. For direct comparison, the same analysis was done on N1-PPP and N2-PPP, revealing a negligible effect of the pyridine ring, since both of them

### Figure 6. Doping level-dependent electronic properties. Panel (a) reports the ARPES spectra of the different polymers at $k_z$ close to the VBM. The superimposed red-dashed lines are the parabolic fit of the band revealing an effective mass of $m^* = 0.19 m_0$. (b) STM $dI/dV$ spectroscopy performed on PPP and N2-PPP, black and blue spectra, respectively; (c) computed projected densities of states (PDOS) of the different polymers. Total and $p_z$ projected density of states are compared for PPP, and nitrogen-related $p_z$ is additionally disclosed for N2-PPP to underline the negligible contribution of N to valence and conduction bands.

In fact, the double periodicity and the Umklapp process are clearly visible both for N1-PPP and N2-PPP. However, the VBMs exhibit a dependence on the degree of nitrogen substitution. The VBM for pristine PPP is $E_F = 1.04$ eV and decreases in N1-PPP to $E_F = 1.26$ eV and to $E_F = 1.38$ eV in N2-PPP (see Figure 6a). Hence, we observe a continuous downshift of the VBM as the nitrogen content increases by as much as $\epsilon = 0.35$ eV for the doubly substituted PPP derivative. Since the conduction band remains above the Fermi level even in the doped wires, no information can be obtained about the magnitude of the band gap from these ARPES measurements, except that it should be larger than $1.4 \pm 0.1$ eV.

Direct measurement of the band gaps has been performed by STS ($dI/dV$) along the polymer chains with highest (N2-PPP) and no doping (PPP), see Figure 6b. In the experimental bias range of $-1.8$ to $+2.3$ V, PPP clearly shows two band onsets around $-1.3$ and $+2.0$ V, whereas the bands of the nitrogen-containing polymer N2-PPP rigidly shift toward lower bias voltages, at about $-1.6$ and $+1.7$ V, respectively. This implies a similar band gap (peak-to-peak) of $E_g = 3.3 \pm 0.2$ eV for both PPP and N2-PPP. This value is in good agreement with the band gap reported for PPP on Cu(111) ($3.1 \pm 0.2$ eV), albeit in stark contrast with that reported for PPP on Cu(110) ($1.15$ eV), where a massive band gap renormalization occurs due to a strong hybridization with the substrate.

While STS and ARPES measurements reveal similar shifts upon N-doping, the onset of the valence band appears at about 0.25 eV higher binding energies in STS than in ARPES, probably related to the difference in the measured systems: STS was performed on single PPP chains on Au(111), while ARPES measurements were taken from compact PPP layers on Au(788). These differences in the systems may result in the observed shift by two possible mechanisms: (i) a difference in work function.
Furthermore, from a parabolic alignment with respect to the Fermi level, without compromising functional organic nanowires, in particular the energy level irrespective of the degree of nitrogen doping. This work thus organic monolayers and the characterization of their electronic submonolayer coverage on a Au(111) surface. An electrochemically lock-in amplification was used to process all STM images. 50

Multilayer deposition was followed by thermal annealing to 180 °C for precursors and 20 min, which brought about multilayer desorption and polymerization 20 Å of vacuum was included. The atomic coordinates of the topmost two Au(111) layers and the adsorbed molecular species were

dealt with using a close-circuit He-compressor-cooled manipulator, a monochromatized gas discharge lamp and a SPECS Phoibos 150 electron analyzer. Being interconnected, STM and ARCES measurements were performed sequentially on the same sample without breaking the UVH conditions at any time. ARCES measurements were performed at a sample temperature of 90 K using the He I line (21.2 eV). The work function was determined from the low energy cutoff and Fermi edge in the photoemission spectra, measured on a sample polarized with 10 V. STS measurements were performed in a separated, commercial low-temperature STM operated at LN2 temperature. Differently from the samples for ARCES, the polymer chains were grown at submonolayer coverage on a Au(111) surface. An electrochemically etched W tip was used for topographic and spectroscopic measurements. The spectroscopic dI/dV measurements were recorded by a lock-in amplifier modulating the sample bias with a sinusoidal voltage of 20 mV amplitude under open-feedback conditions. WSxM software was used to process all STM images. 50

CONCLUSIONS

In summary, we have grown three different extended one-dimensional polymers in a bottom-up fashion, namely poly-para- phenylene and two pyridinic derivatives, with selected and gradually increasing nitrogen content. To characterize their bend structure, macroscopically anisotropic samples have been prepared taking advantage of vicinal surface templating. Using angle resolved photoemission spectroscopy, we reveal a fully dispersive one-dimensional behavior of these semiconducting organic wires and we prove that the electronic structure can be monotonically downsifted relative to the metal Fermi level as the pyridine substitution is increased within the molecular scaffold. Although the nitrogen insertion modulates the valence band onset by as much as 0.34 eV for the doubly doped monomer, DFT calculations in combination with scanning tunneling spectroscopy show that the band gap is unaffected (Eg = 3.3 ± 0.2 eV). Furthermore, from a parabolic fit of the ARCES data at the top of the valence band, a similar effective mass of 0.19 m0 is evidenced for all polymers, implying comparable charge carrier mobility irrespective of the degree of nitrogen doping. This work thus confirms the possibility to tailor the electronic properties of functional organic nanowires, in particular the energy level alignment with respect to the Fermi level, without compromising the product’s transport response (in terms of carrier mobilities) by selectively doping the molecular precursors. Finally, our findings represent a benchmark for the controlled growth of organic monolayers and the characterization of their electronic properties for future technological applications.

METHODS

The Au(887) substrate surface was prepared by standard sputtering-annealing cycles. Subsequently, the samples were prepared by thermal evaporation of the precursors at 105, 100, and 90 °C for precursors 1, 2 and 3, respectively, onto the substrate kept at room temperature. Multilayer deposition was followed by thermal annealing to 180 °C for 20 min, which brought about multilayer desorption and polymerization of the molecules at the interface with the metal. The samples thus obtained consisted of compact monolayers of polymers aligned along the substrate steps. STM and angle resolved ultraviolet photoemission spectroscopy (ARCES) were measured in a UHV system combining a commercial Omicron VT-STM and a home-built chamber equipped for ARCES with a closed-circuit He-compressor-cooled manipulator, a monochromatized gas discharge lamp and a SPECS Phoibos 150 electron analyzer. Being interconnected, STM and ARCES measurements were performed sequentially on the same sample without breaking the UVH conditions at any time. ARCES measurements were performed at a sample temperature of 90 K using the He I line (21.2 eV). The work function was determined from the low energy cutoff and Fermi edge in the photoemission spectra, measured on a sample polarized with 10 V. STS measurements were performed in a separated, commercial low-temperature STM operated at LN2 temperature. Differently from the samples for ARCES, the polymer chains were grown at submonolayer coverage on a Au(111) surface. An electrochemically etched W tip was used for topographic and spectroscopic measurements. The spectroscopic dI/dV measurements were recorded by a lock-in amplifier modulating the sample bias with a sinusoidal voltage of 20 mV amplitude under open-feedback conditions. WSxM software was used to process all STM images. 50

NEXAFS and XPS measurements were performed at the D1011 beamline at the MAX-IV laboratory (Lund, Sweden). The C K-edge NEXAFS spectra were measured in partial electron yield (PEY) mode. A retarding potential, Uret, of −150 V was applied to the PEY detector in order to increase the signal-to-background ratio. The NEXAFS spectra were normalized to the spectra from the corresponding clean metal surfaces and to the continuum jump. The photoelectron spectra were measured relative to the Au 4f7/2 core level (BE = 84.00 eV) and were taken in a normal emission geometry. Fitting of the C 1s and Br 3d PE spectra was performed with the XPS peak software. The C 1s core level spectrum has been deconvoluted by assuming the presence of two different inequivalent carbon atoms within the molecular structure, i.e., carbon-bonded carbon, C–C, and nitrogen-bonded carbon, C–N and by keeping the ratio between the areas constant according to the theoretical stoichiometric ratios. The kinetic energy resolution of the SES-200 electron analyzer was set to 125 meV for the core-level spectra.

We supplied PPP, N1-PFP and N2-PFP finite wires ~8 nm long (18 rings), adsorbed on a Au(111) substrate, by means of Density Functional Theory in the mixed Gaussian plane waves framework, as implemented in the CP2K code. 51 We used the Perdew–Burke–Ernzerhof (PBE) parametrization of the exchange–correlation functional. 52 Dispersion corrections were included following the recipe of Grimme and with a parametrization according to Ruiz. 53,54 The Au(111) substrate was modeled within the repeated slab geometry using orthorhombic simulation cells containing four layers of gold and one layer of hydrogen atoms to suppress the surface state of Au(111) on one side of the slab. The surface of the slab corresponded to 240 unit cells (4 × 30 rectangular units, with extension ±21 × 90 Å2), and more than 20 Å of vacuum was included. The atomic coordinates of the topmost two Au(111) layers and the adsorbed molecular species were fully optimized until forces on atoms were lower that 10−4 au. STM simulations were performed in the Tersoff–Hamann approximation in constant-current mode using the toolkit asetk. 77 To overcome limitations of localized basis sets for nonperiodic systems, the electronic states were extrapolated into the vacuum region. 55 Since the tip shape and the tip–sample distance were not accessible experimentally, a quantitative simulation of the experimental tunneling current was not attempted here. We chose the charge–density isovalue to provide a realistic tip–sample distance on the order of 5 Å. The PDOS presented in Figure 6 are computed for wires in the gas phase constrained to the equilibrium adsorption geometry obtained from the calculations. A large simulation cell including the three ribbons together allowed us to avoid any problem of energy alignment.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acsnano.5b07683.

Epitaxy model and convoluted LEED pattern, second derivative ARCES data highlighting the full band dispersion, overlap of experimental and calculated band structure, additional STM images revealing typical chain lengths, representation of geometry in NEXAFS measurements, comparison of the angle dependent NEXAFS intensities on the three different polymers, C 1s XPS data throughout polymer preparation procedure, C 1s, Br 3d and N 1s XPS data comparing the various polymers studied, C K-edge NEXAFS spectra comparing the various polymers studied, summary of the energy level shifts between the various polymers studied as observed with different techniques, work function measurements from the low energy cutoff in photoemission spectra, graphical comparison of energy shifts upon N-doping reported for different systems, experimental details for chemical synthesis of precursors, and additional details regarding the calculations (PDF).
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The authors declare no competing financial interest.

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(47) Also the work function of the polymer-covered Au(887) shows a monotonic reduction by ≈90 meV for each added N atom ($\varphi_N$)PP = 5.10 eV, $\varphi_N$PVP = 5.02 eV, $\varphi_N$PPY = 4.93 eV, see Figure S12). However, this effect is smaller in magnitude than the observed shifts in the bands and thus cannot account for the latter phenomenon, for example by vacancy level alignment.
