

Linear Cellular Automata as Discrete Models for Generating Cryptographic Sequences

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Abstract

This work shows that a wide class of cryptographic sequences, the so-called interleaved sequences, can be generated by means of linear multiplicative polynomial cellular automata. In fact, this type of one-dimensional linear 90/150 cellular automata can be devised as generators of pseudo-random sequences. Moreover, these linear automata generate all the solutions of a type of difference equations with constant coefficients. Interleaved sequences are just particular solutions of such equations. In this way, linear discrete models based on cellular automata realize many popular nonlinear sequence generators of current application in stream ciphers. Thus, cryptographic sequence generators conceived and designed originally as complex nonlinear models can be easily written in terms of simple linear equivalents.

Keywords: interleaved sequence, cellular automata, linearization, stream ciphers, cryptography

1 Introduction

Secret-key cryptography is commonly divided into block and stream ciphers. As opposed to block ciphers, stream ciphers encrypt each data symbol (as small as a bit) into a ciphertext symbol under a time-varying transformation. Stream ciphers are the fastest among the encryption procedures so they are implemented in many practical applications e.g. the algorithm RC4 designed by Rivest (1992) for Microsoft Word and Excel, the algorithms A5 in GSM communications GSM (2000) or the encryption system E0 in Bluetooth specifications Bluetooth (2002). From a short secret key (known only by the two interested parties) and a public algorithm (the sequence generator), stream cipher procedure consists in generating a long sequence of seemingly random bits, that is a pseudo-random sequence. In cryptographic terms, such a sequence is called the keystream sequence. For encryption, the sender realizes the bit-

wise XOR operation among the bits of the original message or plaintext and the keystream sequence. The result is the ciphertext to be sent. For decryption, the receiver generates the same keystream, realizes the same bit-wise XOR operation between the received ciphertext and the keystream sequence and recovers the original message.

Most keystream generators are based on register machines called Linear Feedback Shift Registers (LFSRs), a good introduction to LFSRs can be found in the reference Golomb (1982). The output sequences of such linear registers are combined by means of nonlinear functions in order to produce keystream sequences of cryptographic application. High linear complexity, long period and good statistical properties are necessary although never sufficient conditions that every keystream sequence must satisfy, see (Caballero-Gil et al. 2004, Fúster-Sabater 2004).

In the literature, there are different families of pseudo-random sequences, the so-called interleaved sequences defined by Gong (1995), with the common characteristic that each sequence can be written in terms of a unique shifted PN -sequence. In fact, a PN -sequence is the output sequence of a Linear Feedback Shift Register (LFSR) with primitive characteristic polynomial. For a survey of PN -sequences, shift equivalence and primitive LFSRs, the interested reader is referred to Golomb (1982).

Interleaved sequences are currently used as keystream sequences and they are generated such as follows:

1. By a LFSR controlled by another LFSR (which may be the same one) e.g. multiplexed sequences Jennings (1983), clock-controlled sequences Beth et al. (1985), cascaded sequences Gollmann et al. (1989), shrinking generator sequences Copper-Smith et al. (1994) etc.
2. By one or more than one LFSR and a feed-forward nonlinear function e.g. Gold-sequence family, Kasami (small and large set) sequence families, GMW sequences, Klapper sequences, No sequences etc. See the work of Gong (1995) and the references cited therein.

In brief, a large number of popular sequences are included in the class of interleaved sequences. In this work, an easy method of generating interleaved sequences of cryptographic application is presented. Indeed, these sequences are realized by means of Cellular Automata (CA) as solutions of linear difference equations with constant coefficients. The class of linear multiplicative polynomial CA is used in the generation process. In this way, complex nonlinear sequence generators are expressed in terms of simple linear cellular structures.

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More precisely, in the present work, it is showed that one-dimensional linear CA based on rules 90/150 generate all the solutions of linear difference equations with binary constant coefficients. Some of these solutions correspond to the sequences produced by the previous keystream generators. In this way, we have simple CA that not only generate all the solutions of a kind of equation but also they are linear models of nonlinear cryptographic sequence generators. Due to the linearity of the CA transition rules, modelling these CA-based designs is simple and efficient.

2 Fundamentals and Basic Notation

Troughout this work, the following kind of linear difference equations with binary coefficients will be considered:

$$(E^r \oplus \sum_{j=1}^r c_j E^{r-j}) a_n = 0, \quad n \geq 0 \quad (1)$$

where E is the shifting operator that operates on a_n (i.e. $Ea_n = a_{n+1}$), $\{a_n\}$ with $a_n \in GF(2)$ (Galois Field) is a binary sequence satisfying the previous equation, $c_j \in GF(2)$ are binary coefficients and the symbol \oplus represents the XOR logic operation. The r -degree characteristic polynomial of the equation (1) is:

$$P(x) = x^r + \sum_{j=1}^r c_j x^{r-j} \quad (2)$$

and specifies the linear recurrence relationship of the sequence $\{a_n\}$. This means that its n -th term, a_n , can be written as a linear combination of the previous terms:

$$a_n \oplus \sum_{j=1}^r c_j a_{n-j} = 0, \quad n \geq r. \quad (3)$$

If $P(x)$ is an irreducible polynomial Golomb (1982) and α one of its roots, then

$$\alpha, \alpha^2, \alpha^{2^2}, \dots, \alpha^{2^{(r-1)}} \in GF(2^r) \quad (4)$$

are the r different roots of such a polynomial (see Lidl et al. (1986)). In this case, the solutions of (1) are of the form (see Key (1976)):

$$a_n = \sum_{j=0}^{r-1} A^{2^j} \alpha^{2^j n}, \quad n \geq 0 \quad (5)$$

where A is an arbitrary element in $GF(2^r)$. According to equation (5), $\{a_n\}$ is the PN -sequence Golomb (1982) of characteristic polynomial $P(x)$ starting at a particular term given by the value of A .

Next, we can generalize the difference equation given in (1) to a more complex kind such as follows:

$$(E^r \oplus \sum_{j=1}^r c_j E^{r-j})^p a_n = 0, \quad n \geq 0 \quad (6)$$

where p is a positive integer. In this case, the characteristic polynomial of (6) is of the form $P_G(x) = P(x)^p$ and its roots will be the same as those of $P(x)$ but with multiplicity p . The solutions of (6) are of the form (see Key (1976)):

$$a_n = \sum_{m=0}^{p-1} \binom{n}{m} \left(\sum_{j=0}^{r-1} A^{2^j} \alpha^{2^j n} \right), \quad n \geq 0 \quad (7)$$

where the A_m 's are arbitrary elements in $GF(2^r)$. According to equation (7), $\{a_n\}$ is now the bit-wise XOR of p times the same PN -sequence as before $\left\{ \sum_{j=0}^{r-1} A_m^{2^j} \alpha^{2^j n} \right\}$ starting at particular terms determined by the value of A_m and weighted by a binomial coefficient $\binom{n}{m}$. The different choices of the A_m 's will give rise to the different sequences $\{a_n\}$ that are all the possible solutions of the equation (6).

3 Interleaved Sequences and Linear Cellular Automata

The two basic structures we are dealing with (interleaved sequences and linear multiplicative polynomial CA) are introduced in the following subsections.

3.1 Fundamentals of the Interleaved Sequences

Let $\mathbf{s} = \{s(k)\} = s(0), s(1), \dots$ be a q -ary linear recurring sequence over $GF(q)$ with $s(k) \in GF(q), k = 0, 1, \dots$. According to the previous section, the characteristic polynomial of such a sequence \mathbf{s} is denoted by

$$f(x) = x^r + \sum_{j=1}^r c_j x^{r-j} \in GF(q)[x] \quad (8)$$

and represents its linear recurrence relationship Golomb (1982). Indeed, each element of \mathbf{s} can be written as a linear combination of the r previous elements such as follows,

$$s(k+r) = \sum_{j=1}^r c_j s(k+r-j) \quad k \geq 0. \quad (9)$$

In this case \mathbf{s} is said to be generated by $f(x)$. The polynomial of the lowest degree in the set of characteristic polynomials of \mathbf{s} over $GF(q)$ is called the minimal polynomial of such a sequence.

Definition 1 Let $f(x)$ be a polynomial over $GF(q)$ of degree r with $f(0) \neq 0$ and let m be a positive integer. For any sequence $\mathbf{u} = \{u(k)\}$ over $GF(q)$, write $k = im + j$ ($i = 0, 1, \dots, j = 0, \dots, m-1$). If $u_j = \{u(im+j)\}_{i \geq 0}$ is generated by $f(x)$ for all j , then \mathbf{u} is called an interleaved sequence over $GF(q)$ of size m associated with $f(x)$.

We can write $\mathbf{u} = (\mathbf{u}_0, \mathbf{u}_1, \dots, \mathbf{u}_{m-1})$ where \mathbf{u}_j 's are called component sequences of \mathbf{u} . By definition, every \mathbf{u}_j is an m -decimation of the sequence \mathbf{u} . As the LFSRs of cryptographic application have primitive characteristic polynomials, in the sequel $f(x)$ will be a primitive polynomial of degree r in $GF(q)[x]$. In this case, the sequence \mathbf{u} is called a *primitive interleaved sequence* and the \mathbf{u}_j 's are PN -sequences over $GF(q)$ (in fact the same PN -sequence) generated by $f(x)$.

In particular if PN -sequences over $GF(2)$ are considered, then primitive interleaved sequences are characterized by (see Gong (1995)):

1. The period of each \mathbf{u}_j is $T = 2^r - 1$, thus the period of the interleaved sequence will be $T_u = m(2^r - 1)$.
2. The minimal polynomial $h(x)$ of \mathbf{u} satisfies $h(x) | f(x)^m$ so that the linear complexity of the interleaved sequence (the degree of its minimal polynomial) is upper bound by $LC(\mathbf{u}) \leq rm$.

90	150	150	150	90	90	150	150	150	90
0	0	0	1	1	1	0	1	1	0
0	0	1	0	0	1	0	0	0	1
0	1	1	1	1	0	1	0	1	0
1	0	1	1	1	0	1	0	1	1
0	0	0	1	1	0	1	0	0	1
0	0	1	0	1	0	1	1	1	0
0	1	1	0	0	0	0	1	0	1
\vdots									
0	0	0	0	0	1	1	0	0	0
0	0	0	0	1	1	0	1	0	0
$\{x_1^n\}$	$\{x_2^n\}$	$\{x_3^n\}$	$\{x_4^n\}$	$\{x_5^n\}$	$\{x_6^n\}$	$\{x_7^n\}$	$\{x_8^n\}$	$\{x_9^n\}$	$\{x_{10}^n\}$

Table 2: A linear 90/150 cellular automaton of 10 cells

Theorem 1 Let $\Delta_L = (d_1, d_2, \dots, d_L)$ be the representation of a 90/150 binary linear cellular automaton with L cells and characteristic polynomial $P_L(x) = (x + d_1)(x + d_2)\dots(x + d_L)$. The cellular automaton with characteristic polynomial $P_{2L}(x) = P_L(x)^2$ is represented by:

$$\Delta_{2L} = (d_1, d_2, \dots, \overline{d_L}, \overline{d_L}, \dots, d_2, d_1) \quad (11)$$

where the overline symbol represents bit complementation.

Proof. Indeed, it is easy to check that:

$$P_{\overline{L}}(x) = P_L(x) + P_{L-1}(x)$$

where $P_{\overline{L}}(x)$ is the polynomial corresponding to $\Delta_{\overline{L}} = (d_1, d_2, \dots, \overline{d_L})$ and $P_{L-1}(x)$ the polynomial corresponding to the sub-automaton Δ_{L-1} . In the same way,

$$\begin{aligned} P_{L+1}(x) &= (x + d_L)P_{\overline{L}}(x) + P_L(x) \\ P_{L+2}(x) &= (x + d_{L-1})P_{L+1}(x) + P_{\overline{L}}(x) \\ &\vdots \\ P_{2L}(x) &= (x + d_1)P_{2L-1}(x) + P_{2L-2}(x). \end{aligned}$$

Thus, by successive substitutions of the previous polynomial into the next one we get:

$$\begin{aligned} P_{2L}(x) &= \\ (x + d_1)P_{2L-1}(x) + P_{2L-2}(x) &= P_L(x)^2. \end{aligned} \quad (12)$$

□

The result can be iterated a number of times for successive polynomials and rule vectors:

$$\begin{aligned} P_L(x) &\longleftrightarrow \Delta_L = (d_1, d_2, \dots, d_L) \\ P_L(x)^2 &\longleftrightarrow \Delta_{2L} = (d_1, d_2, \dots, \overline{d_L}, \overline{d_L}, \dots, d_2, d_1) \\ P_L(x)^{2^2} &\longleftrightarrow \Delta_{2^2 L} = (\overline{d_1}, d_2, \dots, \overline{d_L}, \overline{d_L}, \dots, d_2, \overline{d_1}, \\ &\quad \overline{d_1}, d_2, \dots, \overline{d_L}, \overline{d_L}, \dots, d_2, d_1) \\ &\vdots \longleftrightarrow \vdots \quad \vdots \quad \vdots \end{aligned}$$

In this way, the concatenation of an automaton (with the least significant bit complemented) and its mirror image allows us to realize linear multiplicative polynomial CA. Remark that the automaton $\Delta_{2^n L}$ includes all the previous sub-automata $\Delta_{2^s L}$ with $0 \leq s < n$, that is the automaton $\Delta_{2^n L}$ generates all the sequences $\{x_i^n\}$ ($i = 1, \dots, 2^n L$) whose characteristic polynomials are $P_L(x)^p$ with $1 \leq p \leq 2^n$. The choice of a particular state cycle determines the corresponding characteristic polynomial $P_L(x)^p$ of its sequences.

5 Generation of Interleaved Sequences from Linear Multiplicative Polynomial CA

In this section, we show how the interleaved sequences can be generated by multiplicative polynomial CA. Depending on the characteristic (minimal) polynomial of the interleaved sequence, different cases can be presented:

Case 1: The characteristic polynomial of the interleaved sequence is

$$h(x) = x^r + \sum_{j=1}^r c_j x^{r-j}. \quad (13)$$

Taking $P(x) = h(x)$, $p = 1$ and $P_M(x) = P(x)$, we have that $P_M(x)$ is the characteristic polynomial of a pair of linear multiplicative polynomial CA of $L = r$ cells, that is

$$\Delta_r = (d_1, d_2, \dots, d_r)$$

and

$$\Delta_r^* = (d_r, \dots, d_2, d_1)$$

given by the Cattell and Muzio algorithm. Furthermore, $P(x) = x^r + \sum_{j=1}^r c_j x^{r-j}$ specifies the linear re-

currence relationship of the sequence $\{x_i^n\}$ obtained at the i -th cell ($i = 1, \dots, r$). Hence, such a linear recursion can be expressed as a linear difference equation with constant coefficients in the shifting operator E given by:

$$(E^r + \sum_{j=1}^r c_j E^{r-j}) x_i^n = 0 \quad n \geq 0 \quad (14)$$

whose solutions, according to section 2, are of the form $x_i^n = \sum_{j=0}^{r-1} A^{2^j} \alpha^{2^j n}$, where $\alpha \in GF(2^r)$ is a root of $P(x)$ and A is an arbitrary element in $GF(2^r)$.

Thus, the sequential solutions $\{x_i^n\}$ of (14) are the PN -sequence $\{\sum_{j=0}^{r-1} A^{2^j} \alpha^{2^j n}\}$ generated by $P(x)$

starting at a particular point determined by the value of A . Such solutions are realized by the automata Δ_r and Δ_r^* . Each sequence $\{x_i^n\}$ generated by the previous CA is an interleaved sequence of size $m = 1$, period $T = (2^r - 1)$ and linear complexity $LC = r$.

For the 3-degree primitive polynomial $P(x) = x^3 + x + 1$, the Cattell and Muzio algorithm provides us with two reversal 90/150 CA whose rule vectors are $\Delta_3 = (0, 1, 1)$ and $\Delta_3^* = (1, 1, 0)$. Table 3 shows the PN -sequence generated by the two reversal CA

90	150	90	90	150	150	150	150	90	90	150	90	u_0	u_1	u_2	u_3
1	1	1	0	1	1	0	1	1	0	0	0	1	1	1	1
1	1	1	0	0	0	0	0	1	1	0	0	1	0	1	0
1	1	1	1	0	0	0	1	1	1	1	0	0	0	1	1
1	1	0	1	1	0	1	0	0	0	0	1	0	1	0	1
1	0	0	1	0	0	1	1	0	0	1	0	1	0	0	1
0	1	1	0	1	1	0	0	1	1	1	1	0	1	1	0
1	0	1	0	0	0	1	1	1	0	1	1	1	1	0	0
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots				
0	0	1	0	1	1	1	0	0	0	0	0				
0	1	0	0	0	1	0	1	0	0	0	0				
$\{x_1^n\}$			$\{x_{12}^n\}$				

Table 4: (left) Automaton Δ_{12} starting at IS_2 ; (right) Sequence $\{x_1^n\}$ in interleaved format

90	150	90	90	150	150	150	150	90	90	150	90	u_0	u_1	u_2	u_3
0	1	0	0	0	1	0	1	0	0	0	1	0	1	1	1
1	1	1	0	1	1	0	1	1	0	1	0	1	1	0	1
1	1	1	0	0	0	0	0	1	0	1	1	0	0	0	0
1	1	1	1	0	0	0	1	0	0	0	1	1	0	1	0
1	1	0	1	1	0	1	1	1	0	1	0	1	1	0	1
1	0	0	1	0	0	0	1	1	0	1	1	1	0	1	0
0	1	1	0	1	0	1	0	1	0	0	1	0	1	1	1
\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots	\vdots				
1	1	0	1	0	1	1	1	1	0	0	1				
1	0	0	0	0	0	1	1	1	1	1	0				
$\{x_1^n\}$			$\{x_{12}^n\}$				

Table 5: (left) Automaton Δ_{12} starting at IS_3 ; (right) Sequence $\{x_1^n\}$ in interleaved format

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